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# PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re   | Applic   | ation of  | :  | )         |  |  |  |  |  |
|---|--|---|--|-----------|--|--|--|--|--|
|   | Da   | rryl E. I   | RUBIN, et al.  | )         | Group Art Unit: 2176                                   |  |  |  |  |
| Serial  | No.: (   | )9/457,1  | 09   | )         | Examiner: M. Nguyen                                    |  |  |  |  |
| Filed:  | De   | cember  | 7, 1999 ′  | )         | Docket No.: 003797.81487                               |  |  |  |  |
| For:  | AF<br>CC<br>AF   | RCHITE<br>ONTEN   | ER USER INTERFACE<br>CTURE WHEREIN BOTH<br>IT AND USER INTERFACE<br>IPOSED OF DOCUMENTS<br>UKS | ) ) ) )   |  |  |  |  |  |
|   | <u> </u>   | SUPPL   | EMENTAL INFORMATIO   | N DISCL   | OSURE STATEMENT  |  |  |  |  |
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| States  |  |   | ation Disclosure Statement is ademark Office in accordance                                     |           | ed for consideration by the United duty of disclosure. |  |  |  |  |
|   | Trans  | smitted I   | nerewith is:   |           |  |  |  |  |  |
| [X]   | A PT   | O/SB/0  | 8a form  |           |  |  |  |  |  |
| []  | A Background Art Information Paper 09/22/2005 SZEWDIE1 00000114 190733 09457109 01 FC:1806 180.00 DA |   |  |           |  |  |  |  |  |
| [X] Copies of all non-U.S. cited references are attached. |  |   |  |           |  |  |  |  |  |
|   | []   | Concise explanation of non-English language information |  |           |  |  |  |  |  |
|   |  | []  | concise explanation of relev   | ance:     |  |  |  |  |  |
|   |  | []  | English translation of foreig  | n languag | ge information   |  |  |  |  |
|   |  | []  | English language version of  | foreign p | eatent office report citing                            |  |  |  |  |

Attorney Docket No.: 003797.81487

Serial No.: 09/457,109

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|    |                        | [] English language abstract(s) of foreign patent document(s)   |
|----|------------------------|---|
| [] | Appl<br>1449<br>earlie | application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. ication Serial No. ****, filed ***. The information identified on the attached PTO form was submitted to and/or cited by the Patent and Trademark Office in this er application and, therefore, copies are not required to be provided in this cation. |
| 1. | []                     | This Information Disclosure Statement is filed <u>before</u> the later of:  |
|    |                        | • three months from the filing date of this national application:   |

- three months from the filing date of this national application;
- three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

- This Information Disclosure Statement is filed after the events noted in 2. [X]paragraph 1, but before either:
  - a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

- [X]a fee under 37 CFR 1.17(p) for \$180.00; or a certification as stated below.
- This Information Disclosure Statement is filed before payment of the issue 3. fee, but after either
  - a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
  - a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

a certification, as stated below; and

Attorney Docket No.: 003797.81487

Serial No.: 09/457,109

[] the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

#### Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

- [] Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or
- [] No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

#### **TOTAL FEES:** \$180.00

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- [] No fee is required.
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A duplicate copy of this sheet is enclosed for accounting purposes.

By:

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB/08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted/

BANNER & WITCOFF, LTD. 1001 G Street, N.W., Suite 1100 Washington, D.C. 20001-4597

(202) 824-3000

Dated: September 20, 2005

Christopher R. Glembocki Registration No. 38,800

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Sheet

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

| Complete if Known      |                  |  |  |  |  |
|------------------------|------------------|--|--|--|--|
| Application Number     | 09/457,109       |  |  |  |  |
| Filing Date            | December 7, 1999 |  |  |  |  |
| First Named Inventor   | Darryl E. RUBIN  |  |  |  |  |
| Art Unit               | 2176             |  |  |  |  |
| Examiner Name          | M. Nguyen        |  |  |  |  |
| Attorney Docket Number | 003797.81487     |  |  |  |  |

| Officer  | l '                          |   |  | Alloniey        | DUCKEL   | Varriber     | 003131                         | .01407   |    |
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|  |                              |   | U.S. PATE  | ENT DO          | OCUME  | NTS          |                                |  |    |
| Examiner<br>Initials *   | Cite<br>No. <sup>1</sup>     | Document Number  Number - Kind Code <sup>2</sup> ( <i>if known</i> )  | - Publication Date MM-DD-YYYY  08/1993  07/1998  07/1999  02/2000  10/2000 |                 | Mame of Patentee or Applicant of Cited Document  Mills, et al.  Moran, et al.  Bittinger, et al.  Finkelstein, et al.  Lebling, et al. |              |                                | Pages, Columns, Lines, Where Relevan<br>Passages or Relevant<br>Figures Appear |    |
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| Examiner<br>Initials *   | Cite<br>No.1                 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |                 |  |              |                                | T²   |    |
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| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|
|                       |                    |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the venetrer code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Bemperor must precede the serial number of the patent document. Nat of cocuments by the appropriate symbols as indicated on the document under WIPO Standard ST. 10 f possible. Applicant is to place a check mark here if English tanguage Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.